

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 15689.92	
In Re Application Of: <b>Yukihiko Okumura</b>					
Application No.	Filing Date	Pat. Examining Office	Customer No.	Group Art Unit	Confirmation No.
09/998,601	November 16, 2001	Not Yet Assigned	022913	2133	Unknown

**Title: DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM, TRANSMITTER AND RECEIVER**

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**Technology Center 2100**

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Commissioner for Patents  
P.O. Box 1450  
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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) and 1.97(e))

Docket No.

15689.92

In Re Application: Yukihiro Okumura

JUL 29 2004

Application No.

09/998,601

Filing Date

November 16, 2001

Not Yet Assigned

Customer No.

022913

Group Art Unit

2133

Confirmation No.

DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM,  
TRANSMITTER AND RECEIVER

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \_\_\_\_\_
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I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature

Dated: July 29, 2004

Adrian J. Lee

Attorney for Applicant

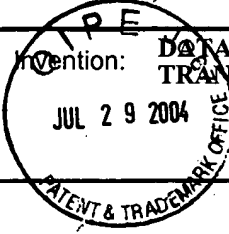

Reg. No.: 42,785

Customer No.: 022913

CC:

07-30-04

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<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			Docket No. <b>#</b> 15689.92 <b>10</b>	
Applicant(s): <b>Yukihiko Okumura</b>				
Application No. <b>09/998,601</b>	Filing Date <b>November 16, 2001</b>	Examiner <b>Not Yet Assigned</b>	Customer No. <b>022913</b>	Group Art Unit <b>2133</b>
<div style="display: flex; justify-content: space-between;"> <div style="width: 30%;">           Invention: <b>DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM, TRANSMITTER AND RECEIVER</b>   </div> <div style="width: 70%; text-align: right;"> <b>RECEIVED</b>  <b>AUG 04 2004</b>  <b>Technology Center 2100</b> </div> </div>				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; min-height: 50px;"> <p>(see below)</p> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> </div> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>July 29, 2004</u> (Date)</p> <div style="text-align: right; margin-top: 20px;"> <u>ADRIAN J. LEE</u>  <i>(Typed or Printed Name of Person Mailing Correspondence)</i>    <i>(Signature of Person Mailing Correspondence)</i>  <u>EV 510294435 US</u>  <i>("Express Mail" Mailing Label Number)</i> </div>				
<p><b>Note: Each paper must have its own certificate of mailing.</b></p>				
<p>Transmittal for Information Disclosure Statement (2 pages; duplicate);          Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);          Form PTO-1449 Listing of References (1 page);          Legible Copy of References; (2 references)          Certificate of Express Mail (EV510294435US);          Acknowledgment Postcard</p>				

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PATENT & TRADEMARK OFFICE  
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Docket No: 15689.92

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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97**

Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided. The concise explanation for Japanese Publication No.: WO98/47253 is provided in the English abstract of that publication.

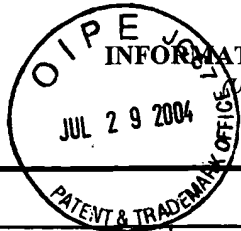
DATED July 28, 2004.

Respectfully submitted,



ADRIAN J. LEE  
Attorney for Applicant  
Registration No. 42,785  
Customer No. 022913

AJL: ds  
DS0000002280V001



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

15689.92

Application Number

09/998,601

Applicant(s)

Yukihiro Okumura

Filing Date

November 16, 2001

Group Art Unit

2133

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1	WO98/47253	10/22/1998	Japan	H04J13	00	✓	

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	2	Official Notice of Rejection ( translation) Mailing No.: 9-5-2004-020632812

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.